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2124

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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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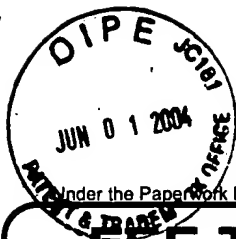
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/599,812
	Filing Date	Jun 21, 2000
	First Named Inventor	Murray
	Group Art Unit	2124
	Examiner Name	NAHAR, QAMRUN
Total Number of Pages in This Submission	Attorney Docket Number	MS1-586US

ENCLOSURES ^o (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449 (3 pages) & Copies of 35 Cited References Return Receipt Postcard
Remarks Customer Number 22801		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Steven J. Spellman; 45,124
Signature	
Date	5-28-04

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: May 28, 2004			
Typed or printed name	Hope, A. Charles		
Signature		Date	5/28, 2004

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PTO/SB/17 (10-03)
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	09/599,812
Filing Date	Jun 21, 2000
First Named Inventor	Murray
Examiner Name	NAHAR, QAMRUN
Art Unit	2124
Attorney Docket No.	MS1-586US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

12-0769

Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims		-20** =		X		0
Independent Claims		-3** =		X		0
Multiple Dependent						

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Steven J. Spellman	Registration No. (Attorney/Agent)	45,124	Telephone	(509) 324-9256
Signature		Date	5-26-04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No..... 09/599,812
Filing Date Jun 21, 2000
Confirmation No. 7148
Inventorship Murray et al.
Applicant Microsoft Corporation
Group Art Unit 2124
Examiner Nahar, Qamrun
Attorney's Docket No. MS1-586US
Title: Architectures for and Methods of Providing Network-based Software Extensions

INFORMATION DISCLOSURE STATEMENT

References – See Attached Form PTO-1449

To: Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

From: Steven J. Spellman (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

With regard to the above-identified application, the documents listed on the enclosed Form 1449 are brought to the attention of the Examiner. A copy of each document listed on the enclosed Form 1449 is provided. Consideration of the listed documents is respectfully requested. Additionally, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

No representation is made that any of the documents listed in the enclosed Form 1449 constitute prior art or are in any way material to the subject matter of the above-identified application

06/03/2004 DEHHANU1 00000140 120769 09599812
01 FC:1806 180.00 DA

1
2 The Commissioner is hereby authorized to charge payment of fees or credit
3 overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

4
5 Dated: 5-28-04

By: 

6 Steven J. Spellman
7 Reg. No. 45,124

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Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	09/599,812	
			Filing Date	Jun 21, 2000	
			First Named Inventor	Murray	
			Group Art Unit	2124	
			Examiner Name	NAHAR, QAMRUN	
Sheet	1	of	3	Attorney Docket Number	MS1-586US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,081,610		Dwork et al.	06/27/2000	
		6,094,657		Hailpern et al.	07/25/2000	
		6,108,783		Krawczyk et al.	08/22/2000	
		6,167,521		Smith et al.	12/26/2000	
		6,253,374		Dresevic et al.	06/26/2001	
		6,263,313		Milsted et al.	07/17/2001	
		6,275,599		Adler et al.	08/14/2001	
		6,292,897		Gennaro et al.	09/18/2001	
		6,311,271		Gennaro et al.	10/30/2001	
		6,321,334		Jerger et al.	11/20/2001	
		6,345,256		Milsted et al.	02/05/2002	
		6,345,361		Jerger et al.	02/05/2002	
		6,366,912		Wallent et al.	04/02/2002	
		6,473,800		Jerger et al.	10/29/2002	
		6,505,300		Chan et al.	01/07/2003	
		6,546,546		Van Doorn	04/08/2003	
		6,609,200		Anderson et al.	08/19/2003	
		6,643,684		Malkin et al.	11/04/2003	
		6,691,230		Bardon	02/10/2004	
		6,697,944		Jones et al.	02/24/2004	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴ <i>(if known)</i>				
			EP 0961197	HEWLETT PACKARD	12/01/1999		

Examiner Signature	Date Considered
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Application Number	09/599,812
Filing Date	Jun 21, 2000
First Named Inventor	Murray
Group Art Unit	2124
Examiner Name	NAHAR, QAMRUN
Attorney Docket Number	MS1-586US

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/599,812
				Filing Date	Jun 21, 2000
				First Named Inventor	Murray
				Group Art Unit	2124
				Examiner Name	NAHAR, QAMRUN
				Attorney Docket Number	MS1-586US
Sheet	3	of	3		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Description of Whitehill Composer software product produced by Whitehill Technologies Inc. available at <http://www.xml.com/pub/p/221> accessed on April 8 2004, 2 pages.	
		HWANG et al.; "Micro-Firewalls for Dynamic Network Security with Distributed Intrusion Detection"; IEEE International Symposium on Network Computing and Applications; 2001; pp. 68-79.	
		KAIYA et al.; "Specifying Runtime Environments and Functionalities of Downloadable Components Under the Sandbox Mode"; International Symposium on Principles of Software Evolution; 2000; pp. 138-142.	
		KOMATSU N. et al.; "A proposal on digital watermark in document image communication and its application to realizing a signature" Electronics and Communications in Japan Part 1 (Communications) vol. 73 no. 5 pp. 22-33.	
		PREVELAKIS et al.; "Sandboxing Applications"; Proceedings of the FREENIX Track; 2001; pp. 119-126.	
		SCHMID et al.; "Protection Data from Malicious Software"; 18th Annual Computer Security Applications Conference; 2002; pp. 199-208.	
		TOMIMORI et al.; "An Efficient and Flexible Access Control Framework for Java Programs in Mobile Terminals"; 22nd International Conference on Distributed Computing Systems Workshops; 2002; pp. 777-782	
		SUN, et al.; "A Robust and Secure Media Signature Scheme for JPEG Images" IEEE 2002 pgs 296-299	
		NOORE, A.; "A Secure Conditional Access System using Digital Signature and Encryption" IEEE, 2003, pgs 220-221	
		CLARKE, P.; "From small beginnings" Knowledge Management November 2001, 3 pages	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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